

Banker's Compliance Consulting

Presents:
**Compliance Management
A Senior Level Perspective**

Program Topics

- ◆ The Role of the Board of Directors
- ◆ Challenges of a Compliance Officer
- ◆ Allocating Resources
- ◆ Internal Audits
- ◆ Risk Management
- ◆ Training
- ◆ Exam Management
- ◆ Policy vs. Procedures
- ◆ Supporting the Compliance Officer
- ◆ Compliance Officer Tips

To Board of Directors and Senior Management:

This seminar is designed specifically for board of directors, presidents, senior management and your compliance officer. As you already know, banking today is a constantly changing and a highly regulated industry. Managing the regulatory aspects of a financial institution is a full time job and should be considered a team effort. While Managements goal is to be profitable, it is also your responsibility to protect the bank from fines, enforcement actions, adverse ratings, negative publicity and customer dissatisfaction.

When it comes to operating an effective compliance program, the actions of upper management can greatly influence the daily banking protocol in a positive manner. To ensure this success, the board of directors and senior management must make compliance a priority.

I am very passionate about this topic because I have incorporated 17 years of my experience to share with you and your team. Through these past years I have been a FDIC examiner, banker, compliance officer and consultant. I have seen ways to manage compliance the "right way" and have encountered first hand the "wrong way".

By participating in this seminar, I will help you understand the complexity of a compliance officer's duties and will enable them to support you with the necessary resources.

Sincerely,

David A. Dickinson

President/CRCM

About Banker's Compliance Consulting

Banker's Compliance Consulting provides an unique service for financial institutions. Effective compliance training is no longer an option; it is a necessity for every bank. Banker's Compliance Consulting has a proven solution to your compliance and auditing needs, personally ensuring consistency in interpretations of the ever-changing rules and regulations. Our Consultants understand the technical aspects of the regulations that are being commonly misunderstood, the practical application of the regulations, as well as the examiner's interpretations that are not readily available to the banking industry.

1/2 DAY SESSION

12:00 P.M. to 4:30 P.M.

Registration starts
at 12:00 P.M.

Seminar Starts as 12:30 P.M.

DATES & LOCATIONS

- ◆ **May 5**
Sioux Falls, SD
Ramada Inn
1301 Russell Road
(605) 336-1020
- ◆ **May 6**
Omaha, NE
Embassy Suites
555 S 10th Street
(402) 346-9000
Alternative Parking
on South 11th St.
- ◆ **May 7**
Grand Island, NE
Interstate Holiday Inn
I-80 Exit 312 South
(308) 384-7770

**Bring your
management team.
First registrant at
regular price, each
additional
1/2 price!**

Banker's Compliance Consulting

The Presenters:

David A. Dickinson
President, CRCM



Jerod Moyer
Consultant, CRCM



To learn more about the presenters, visit our website at www.bankerscompliance.com. While there check out the Compliance Resource section for free downloads and articles. We have recently added a new blogging section as well!

“Excellent and timely program. Good information for experienced as well as newcomers.”

“This seminar helped me with my duties as a compliance officer. Next year I need to get my senior management to attend. It would be well worth their time.”

REGISTRATION DEADLINE IS TWO WEEKS PRIOR TO SEMINAR

Mail this form with payment to:

Banker's Compliance Consulting
P.O. Box 87, Central City, NE 68826

Sioux Falls registrants must add 5.92% Sales Tax to payment

Bank _____

PO Box _____ City _____ State _____ Zip _____

Phone _____ Fax _____

Registrant 1 _____

Email _____

Registrant 2 _____

Email _____

Registrant 3 _____

Email _____

Location Attending: (Check One)

- ◇ Sioux Falls – Monday, May 5
- ◇ Omaha – Tuesday, May 6
- ◇ Grand Island – Wednesday, May 7

COST
\$220.00 Per Person
includes resource manual

REFUND POLICY:
You will receive a full refund if you cancel two weeks prior to the seminar

It is recommended to bring a sweater or jacket.
Temperature control can be delicate.

Don't forget to pre-submit your seminar questions to:
consultants@bankerscompliance.com